

# UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

FORM B

MAY 14 2018

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LEGISLATIVE RESOURCE CENTER

18 MAY 18 AM 10:37

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES  
(Office Use Only)

Name: Joshua A. Mandel Daytime Telephone: \_\_\_\_\_

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: Ohio District: 11	<input type="checkbox"/> Check if Amendment
	<input type="checkbox"/> Candidates - Date of Election: March 2020 Primary		
	<input type="checkbox"/> New Officer or Employee	Staff Filer Type (If Applicable):	Period Covered: January 1, 2017 to April 30, 2018
	<input type="checkbox"/> Employing Office: _____	Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

## PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u></p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p>		<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p>		<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p>	
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p>		<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p>	

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

<p>TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																												
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																												
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
																									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
JT	PenmanPark Floating Rate Capital Ltd. (PFLT)	X															X																																		
JT	First Trust - 6680 Stifel Best Picks, May 2017 (CUSIP 30302R755)	X															X																																		
JT	Stifel - FDIC-Insured Bank Program Money Market Deposit Account																																																		
JT	Aqua America Inc. (WTR)																X																																		
JT	Automatic Data Processing Inc. (ADP)																X																																		
JT	Baxter International Inc. (BAX)																X																																		
JT	Becton Dickinson and Co. (BDX)																X																																		
JT	Church & Dwight Company, Inc. (CHD)																X																																		
JT	Coca-Cola Company (KO)																X																																		
JT	Costco Wholesale Corporation (COST)																X																																		
JT	Emerson Electric Co. (EMR)																X																																		
JT	General Mills, Inc. (GIS)																X																																		
JT	Genuine Parts Company (GPC)																X																																		
JT	Johnson & Johnson (JNJ)																X																																		
JT	McDonald's Corp. (MCD)																X																																		
JT	Merck & Company Inc. (MRK)																X																																		

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																									
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																									
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year												
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
JT	SFDR S&P Biotech - Call option at \$75 exp. 11/17/17 (KBI 11/17/17 C75)	X														X								X																								
JT	Take-Two Interactive Inc. (TTWO)	X																		X				X																								
JT	Take-Two Interactive Inc. - Call option at \$49 exp. 01/20/17 (TTWO 1/20/17 C49)	X														X								X																								
JT	Target Corporation (TGT)	X															X							X																								
JT	Tech Data Corp. (TECD)	X																		X				X																								
JT	Tech Data Corp. - Call option at \$100 exp. 12/15/17 (TECD 12/15/17 C100)	X														X								X																								
JT	Tech Data Corp. - Call option at \$105 exp. 09/15/17 (TECD 9/15/17 C105)	X																		X				X																								
JT	United Continental Holdings Inc. - Call option at \$67.50 exp. 01/19/18 (UAL 1/19/18 C67.5)	X														X								X																								
JT	United Continental Holdings Inc. - Call option at \$70 exp. 12/15/17 (UAL 12/15/17 C70)	X																		X				X																								
JT	United Continental Holdings Inc. - Call option at \$72.50 exp. 03/16/18 (UAL 3/16/18 C72.5)	X																		X				X																								

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name:

Joshua A. Mandel

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BLOCK A			BLOCK B													BLOCK C								BLOCK D																												
Assets and/or Income Sources			Value of Asset													Type of Income								Amount of Income																												
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year																
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
SP	Y Ambassador Holdings LLC			X												X																																				
SP	Y commercial real estate: Ambassador Plaza 22293-22683 Euclid Ave. Euclid, OH																																																			
SP	Y Delhi Pike Properties LLC			X												X																																				
SP	Y commercial real estate: 4940 Delhi Pike Cincinnati, OH																																																			
SP	Y Delhi Plaza Associates LP				X												X																																			
SP	Y commercial real estate: Delhi Plaza 4990 Delhi Pike Cincinnati, OH																																																			
SP	Y Eastern Kentucky Development Associates LP				X												X																																			
SP	Y commercial real estate: Highlands Plaza 102-216 Collins Circle Prestonburg, KY																																																			



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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																															
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year																	
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*										I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
SP	Memphis Fulton Associates LP					X																Partnership Income																															
SP	commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / 5200 Memphis Cleveland, OH																																																				
SP	Middletown Properties Company Limited LLC	X																																																			
SP	commercial real estate: Middletown Shopping Center 413-665 S. Breiel Middletown, OH																																																				
SP	Midstate Properties Company Limited LLC																																																				
SP	commercial real estate: 530-640 Plaza Drive Fostoria, OH																																																				
SP	Ridgeview Partners LP	X																																																			
SP	commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH																																																				

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Use additional sheets if more space is required.

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A		BLOCK B													BLOCK C								BLOCK D																										
Assets and/or Income Sources		Value of Asset													Type of Income								Amount of Income																										
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year													
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII		
SP	Taylor Commons Partners, Ltd. LLC				X											X								X																									
SP	commercial real estate: 2107 S. Taylor Road Cleveland Hts., OH																																																
SP	Trumbull Properties Company LP		X													X								X																									
SP	commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH																																																
SP	Tuscola Properties Company Limited LLC					X											X						Partnership Income																										
SP	commercial real estate: Caro Centre 1530-1560 W. Caro Rd. Caro, MI																																																
SP	1900 East 30th Street LLC				X											X								X																									
SP	residential real estate: University Commons Apts. 1900 E. 30th St. Cleveland, OH																																																

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																													
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP	Bertrone Nominee Company, P.L.L.	X																X																																	
SP	Middletown Properties Company Limited LLC																																																		
SP	commercial real estate: Middletown Shopping Ctr. 413-665 S. Breiel Middletown, OH																																																		
SP	Delhi Plaza Associates LP					X												X																																	
SP	commercial real estate: Delhi Plaza 4990 Delhi Pike Cincinnati, OH																																																		
SP	Findlay Garden LLC	X																																																	
SP	residential real estate: Findlay Gardens Apts. 2800 South Main Street Findlay, OH																																																		
SP	Glidden House Associates LP							X																																											
SP	hotel: Glidden House 1901 Ford Drive Cleveland, OH																																																		

# SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income																													
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year															
			None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP	Memphis Fulton Associates LP							X										X				Partnership Income					X																								
SP	commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / 5200 Memphis Cleveland, OH																																																		
SP	Paran Management Company, Ltd. (property management)						X															Partnership Income																													
SP	Ridgeview Partners LP																																																		
SP	commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH																																																		
SP	Trumbull Properties Company LP																																																		
SP	commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH																																																		
SP	Ambassador Holdings LLC																																																		
SP	commercial real estate: Ambassador Plaza 22293-22683 Euclid Ave. Euclid, OH																																																		



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## SCHEDULE D – LIABILITIES

Name: Joshua A. Mandel

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Fig Investors, LLC (Celina, OH)	1/2013	Mortgage for Statehouse Properties LLC	X										

## SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Partner	Statehouse Properties LLC
Treasurer of State	State of Ohio
	As Ohio Treasurer of State, filer has staff designees that represent the Treasurer's office on multiple organizations throughout State government.

# **SCHEDULE F – AGREEMENTS**

Name: Joshua A. Mandel

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	- NONE TO REPORT -	

# **SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services
	State of Ohio	State Treasurer service